

**DISCLOSURE AND NOTICE FOR CANADIAN FUTURES CUSTOMERS RESIDENT IN
ONTARIO, ALBERTA OR BRITISH COLUMBIA**

Mizuho Securities USA LLC (“MSUSA”) is not registered under any Canadian provincial commodities futures legislation and, accordingly, the protection available to clients of a dealer registered under provincial commodities futures legislation will not be available to clients of MSUSA.

Please refer to the risk disclosure statement for futures and options in the document titled “Mizuho Securities USA LLC Futures Customer Disclosures and Notices”.

There may be difficulty in enforcing any legal rights against MSUSA or any of its directors, officers, employees or agents, because they are resident outside of Canada and all or substantially all of their assets are situated outside of Canada.

Alberta Customers

The name and address of the agent for service of MSUSA in the Province of Alberta is:

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British Columbia Customers

The name and address of the agent for service of MSUSA in the Province of British Columbia is:

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