

## Mizuho Securities India Private Limited

### Investors Complaints Data – Private placement of units by InvITs proposed to be listed

#### Data for the month ending April 2023

| SN | Received from                 | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of the month # | Pending complaints > 3 month | Average Resolution time ^\ (in days) |
|----|-------------------------------|-------------------------------------|---------------------------|----------------------------|-----------------------------------|------------------------------|--------------------------------------|
| 1  | Directly from Investors       | 0                                   | 0                         | Not Applicable             | 0                                 | Not Applicable               | Not Applicable                       |
| 2  | SEBI (SCORES)                 | 0                                   | 0                         | Not Applicable             | 0                                 | Not Applicable               | Not Applicable                       |
| 3  | Stock Exchanges (if relevant) | 0                                   | 0                         | Not Applicable             | 0                                 | Not Applicable               | Not Applicable                       |
| 4  | Other Sources (if any)        | 0                                   | 0                         | Not Applicable             | 0                                 | Not Applicable               | Not Applicable                       |
| 5  | <b>Grand Total</b>            | 0                                   | 0                         | Not Applicable             | 0                                 | Not Applicable               | Not Applicable                       |

#### Trend of monthly disposal of complaints for the financial year 2023-2024

| SN | Month              | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of the month # |
|----|--------------------|-------------------------------------|---------------------------|----------------------------|-----------------------------------|
| 1  | April, 2023        | 0                                   | 0                         | Not Applicable             | 0                                 |
| 2  | May, 2023          |                                     |                           |                            |                                   |
| 3  | June, 2023         |                                     |                           |                            |                                   |
| 4  | July, 2023         |                                     |                           |                            |                                   |
| 5  | August, 2023       |                                     |                           |                            |                                   |
| 6  | September, 2023    |                                     |                           |                            |                                   |
| 7  | October, 2023      |                                     |                           |                            |                                   |
| 8  | November, 2023     |                                     |                           |                            |                                   |
| 9  | December, 2023     |                                     |                           |                            |                                   |
| 10 | January, 2024      |                                     |                           |                            |                                   |
| 11 | February, 2024     |                                     |                           |                            |                                   |
| 12 | March, 2024        |                                     |                           |                            |                                   |
|    | <b>Grand Total</b> | 0                                   | 0                         | Not Applicable             | 0                                 |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

**Trend of annual (financial year) disposal of complaints (For last 3 years)**

| <b>SN</b> | <b>Year</b>        | <b>Carried forward from previous year</b> | <b>Received during the particular year</b> | <b>Resolved during the particular year</b> | <b>Pending at the end of the particular year</b> |
|-----------|--------------------|---|--|--|--|
| 1         | 2021-22            | 0   | 0  | Not Applicable                             | 0  |
| 2         | 2022-23            | 0   | 0  | Not Applicable                             | 0  |
| 3         | 2023-24            | 0   |  |  |  |
|           | <b>Grand Total</b> | 0   | 0  | Not Applicable                             | 0  |