Mizuho Securities India Private Limited

Investors Complaints Data – Public issue of debt securities

Data for the month ending March 2024

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total pending during the particular month #	Pending complaints > 1 month	Average Resolution time ^\ (in days)
1	Directly from Investors	0	0	Not Applicable	0	Not Applicable	Not Applicable
2	SEBI (SCORES)	0	0	Not Applicable	0	Not Applicable	Not Applicable
3	Stock Exchanges (if relevant)	0	0	Not Applicable	0	Not Applicable	Not Applicable
4	Other Sources (if any)	0	0	Not Applicable	0	Not Applicable	Not Applicable
5	**Grand Total**	0	0	Not Applicable	0	Not Applicable	Not Applicable

Trend of monthly disposal of complaints for the financial year 2023-2024

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending as at the end of the particular month #
1	April, 2023	0	0	Not Applicable	0
2	May, 2023	0	0	Not Applicable	0
3	June, 2023	0	0	Not Applicable	0
4	July, 2023	0	0	Not Applicable	0
5	August, 2023	0	0	Not Applicable	0
6	September, 2023	0	0	Not Applicable	0
7	October, 2023	0	0	Not Applicable	0
8	November, 2023	0	0	Not Applicable	0
9	December, 2023	0	0	Not Applicable	0
10	January, 2024	0	0	Not Applicable	0
11	February, 2024	0	0	Not Applicable	0
12	March, 2024	0	0	Not Applicable	0
	Grand Total	0	0	Not Applicable	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (financial year) disposal of complaints (For 3 years on rolling basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending as at the end of the particular year
1	2021-22	0	0	Not Applicable	0
2	2022-23	0	0	Not Applicable	0
3	2023-24	0	0	Not Applicable	0
	Grand Total	0	0	Not Applicable	0