

STATEMENT ON MODERN SLAVERY AND HUMAN TRAFFICKING

September 2021

This statement for the financial year ending 31 March 2021 is published by Mizuho International plc (“MHI”) pursuant to s.54 of the Modern Slavery Act 2015 (“MSA 2015”). Modern slavery and human trafficking (“MSHT”) are violations of fundamental human rights and are offences under the MSA 2015.

This statement outlines the practices and procedures we have in place to ensure our business and supply chains remain free of MSHT.

A. Our Organisation

MHI is an international financial institution with its registered office in London, which delivers a broad range of investment banking services to a large and diversified client base that includes corporations, financial institutions and governments.

Our supply chains include a range of outsourcing, subcontracting and procurement of goods and services.

B. Our MSHT Policy

MHI is committed to maintaining a high standard of integrity and professionalism and ensuring that there is no modern slavery or human trafficking in our supply chains or any part of our business. MHI has a zero-tolerance approach to MSHT and has developed an internal policy to help ensure the prevention of MSHT (the “Policy”). The Policy reflects our ongoing commitment to this approach and to implementing and enforcing effective systems and controls to ensure these ideals are reflected in our business practices and operations.

The Policy ensures that there is rigour and transparency in our business operations and in our approach to preventing MSHT in our supply chains. In particular, the Policy provides for:

(i) *Awareness & Training*

- by acknowledging that training is fundamental to raising awareness of MSHT, and thereby helping prevent it, MHI has, over the past financial year, continued to enforce its online training programmes for its permanent, temporary and fixed term workers and informs these personnel of MHI’s obligations under the MSA 2015.



(ii) *Detection & Prevention*

- implementation of a comprehensive procurement process comprising of enhanced due diligence processes for all business partners and suppliers and ongoing monitoring for the duration of our contractual relationships with such parties.

(iii) *Monitoring & Reporting*

- an ongoing commitment to recording occurrences of any alleged or actual incidents of MSHT and the prompt investigation into any such incidents;
- processes to ensure that the Policy is monitored, that it is complied with by all employees and to evaluate its effectiveness; and
- a commitment to reporting any alleged or actual instances of MSHT to the relevant external authorities.

C. Conclusion

Due to the nature of MHI's business and its approach to governance, MHI assesses that there is currently a low risk of MSHT in MHI's business and supply chains. Nevertheless, MHI will continue to monitor and review the effectiveness of its relevant policies and procedures and will conduct regular checks on their effectiveness in helping combat MSHT.

This statement is made pursuant to section 54(1) of the MSA 2015 and constitutes MHI's prevention of Modern Slavery and Human Trafficking statement for the financial year ending 31 March 2021.

Approved by the Board of MHI on 22 September 2021.

For and on behalf of the Board

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Suneel Bakhshi

President & CEO, Mizuho International plc

Date: 23 September 2021