

## **Applicant Information**

### **on the Processing of Data in the Application Process**

With the following information, we would like to give you an overview of the processing of your personal data by us and your rights arising from data protection law. What specific data are processed and how they are used essentially depend upon what data you make available to us in your application.

#### **Who is responsible for the data processing, and whom can I contact?**

The data controller is:

Mizuho Bank, Ltd., Düsseldorf branch  
Benrather Straße 18 – 20  
40213 Düsseldorf  
Telephone: 0211-13020

Mizuho Bank, Ltd., Frankfurt branch  
TaunusTurm – Taunustor 1  
60310 Frankfurt am Main  
Telephone: 069-29 72 95-0

You can contact our **internal data protection officer** at:

Mizuho Bank, Ltd., Düsseldorf branch  
Data protection officer  
Benrather Straße 18 – 20  
40213 Düsseldorf  
Telephone: 0211-13 02-0  
Email address: [dataprotection\\_germany@mizuhoemea.com](mailto:dataprotection_germany@mizuhoemea.com)

**What sources and data do we use?**

We shall process personal data that you have made available to us in the context of the application. Additionally, we shall – insofar as necessary for the application process – process personal data that we permissibly obtain from publicly accessible sources (e.g. professional networks on the Internet) or that we legitimately receive from other third parties (e.g. police clearance certificate from the Federal Central Register). We may have also received data from third parties (e.g. recruitment agencies).

**What shall we process your data for (purpose of the processing) and on what legal basis?**

We process personal data in line with the provisions of the EU General Data Protection Regulation (GDPR) and the German Federal Data Protection Act [Bundesdatenschutzgesetz (BDSG)]:

a. for conducting the application process as a step prior to entering into a contract (Art. 6 (1) b) GDPR, Section 26 (1), sentence 1 BDSG)

The data are processed primarily for enabling an employment relationship to be established. Where necessary, your data will also be processed in order to reimburse you for costs incurred in connection with the application.

b. in the context of the balancing of interests (Art. 6 (1) f) GDPR, Section 26 (1) BDSG)

Where necessary, we shall process your data beyond the actual purpose of the application process in order to protect our legitimate interests or those of third parties. Examples:

- assertion of legal claims and defence in legal disputes,
- video surveillance, e.g. for protecting domiciliary rights,
- measures for building and plant security (e.g. access control),
- measures for safeguarding domiciliary rights.

c. on the basis of your consent (Art. 6 (1) a) GDPR, Section 26 (2) BDSG)

Where you have given us your consent to the processing of personal data for certain purposes (e.g. prolonged storage of application documents), the lawfulness of this processing shall be based on your consent. Consent given may be withdrawn at any time. Withdrawal of consent shall only take effect for the future and not affect the lawfulness of the data processed up to the time of withdrawal.

d. on the basis of statutory or legal regulations (Art. 6 (1) c) GDPR, Section 26 BDSG) or in the public interest (Art. 6 (1) e) GDPR)

Moreover, we, as a bank, are subject to various legal obligations, i.e. statutory requirements (Banking Act [Kreditwesengesetz], Money Laundering Act [Geldwäschegesetz], Securities Trading Act [Wertpapierhandelsgesetz]) as well as banking supervision regulations (e.g. of the European Central Bank, the European Banking Supervisory Agency, the German Federal Bank and the German Federal Financial Supervisory Authority). The purposes of the processing include, among others, checking identity, checking employee reliability and preventing fraud and money laundering.

**Who will receive my data?**

Within the bank, those entities who decide on your application will receive access to your data. These are, in particular, the personnel department and any future supervisors. Other data recipients may include those entities for which you have given us your consent to the transfer of data or to which we are authorised to transfer personal data on the basis of a balancing of interests.

**Will data be transferred to a third country or to an international organisation?**

As a rule, data shall not be transferred to entities in states outside of the European Union and the European Economic Area (so-called third countries) unless this is prescribed by law, you have given us your consent, or this is justified under data protection law by a legitimate interest and no overriding interests of the data subject that are worthy of protection preclude this.

If we transfer personal data to service providers or entities within the Group outside of the European Economic Area (EEA), we shall do so only insofar as an adequate level of data protection has been confirmed to the third country by the EU Commission, or other adequate data protection safeguards (e.g. binding internal data protection rules or EU standard contractual clauses) are in place.

**For how long will my data be stored?**

We shall store your personal data upon receipt of your application. If we accept your application and hire you, you will be separately informed of the processing of employee data, including the duration of storage. If we reject your application, we shall store your application data for a maximum of six months after rejecting your application, unless you give us your consent to store your data for longer. However, we reserve the right to anonymise your personal data after the storage period has expired in order to be able to use these data for statistical purposes.

Only personal data that may arise as a result of the reimbursement of application costs shall be excluded from this retention period. These data shall be kept separately in accordance with the legal provisions relating to tax.

**What data protection rights do I have?**

Every data subject has the right of access under Article 15 GDPR, the right to rectification under Article 16 GDPR, the right to erasure under Article 17 GDPR, the right to restriction of processing under Article 18 GDPR, the right to object under Article 21 GDPR and the right to data portability under Article 20 GDPR. The right of access and the right to erasure are subject to the restrictions under Sections 34 and 35 BDSG. Furthermore, you have a right to complain to a relevant data protection supervisory authority (Article 77 GDPR, 19 BDSG).

You can withdraw, at any time, the consent you have given us regarding the processing of personal data. Please note that withdrawal will only take effect for the future. Withdrawing consent shall not affect processing activities conducted prior to the withdrawal.

**Am I obliged to provide data?**

It is necessary for you to provide your data in order to be able to enter into a contract with us. You are not legally or contractually obliged to transfer your data to us. However, as we need personal details from you in the course of our application process, not providing these details may mean that we cannot consider you as an applicant.

**To what extent does automated decision-making, including profiling, take place?**

In accordance with Article 22 GDPR, we do not, as a rule, use fully automated decision-making, including profiling, for the application process. If we use such processes in individual cases, we shall, insofar as this is prescribed by law, separately inform you of this and of your rights in this respect.

**Information on your right to object under Article 21 GDPR****Right to object on a case-by-case basis**

You have the right to object at any time, on grounds relating to your particular situation, to any processing of your personal data that is based on Article 6 (1) e) GDPR (data processing in the public interest) and Article 6 (1) f) GDPR (data processing on the basis of a balancing of interests); this also applies to any profiling based on this provision within the meaning of Article 4, no. 4 GDPR.

If you lodge an objection, we shall no longer process your personal data unless we can demonstrate compelling legitimate grounds for the processing which override your interests, rights and freedoms, or the processing serves the assertion, exercise or defence of legal claims.

The objection may be lodged informally and should, if possible, be addressed to:

Mizuho Bank, Ltd., Düsseldorf branch  
Data protection officer  
Benrather Straße 18 – 20  
40213 Düsseldorf  
Telephone: 0211-13 02-0  
Email address: [dataprotection\\_germany@mizuhoemea.com](mailto:dataprotection_germany@mizuhoemea.com)