

MIZUHO BANK (MALAYSIA) BERHAD

WHISTLE-BLOWING POLICY

1. Introduction

The Bank is committed to high standards of ethical, moral and legal business conduct. In order to achieve these standards the Bank has introduced this Whistle-Blowing Policy (“Policy”) to provide an avenue for employees and other relevant stakeholders to raise concerns and report, in good faith, any work malpractices or improper conduct in the Bank and reassurance that they will be protected from reprisals or victimization for whistle-blowing.

2. Scope of Reporting

“Improper conduct” means any conduct which, if proved, constitutes a disciplinary offence or a criminal offence.

Examples of what would constitute an “improper conduct” include, but not limited to the following:

- improprieties in matters of financial reporting;
- breach of the Bank’s codes of conduct, standards policies, procedures, practices etc;
- fraud, corruption, bribery or blackmail;
- failure to comply with laws, rules and regulations;
- endangerment of an individual’s health and safety;
- misuse of Bank’s property;
- theft or embezzlement;
- any unlawful act, whether criminal or civil in nature; and
- concealment of any or a combination of the above.

3. Reporting Channels

Disclosure/ report can be made to any of the following dedicated reporting channels:

- By a formal letter, attention to:
Chairman of Audit Committee
Mizuho Bank (Malaysia) Berhad
Level 27, Maxis Tower
Kuala Lumpur City Centre
50088 Kuala Lumpur
Malaysia
- By a formal report to dedicated email address: **whistleblow@mizuho-cb.com**

4. Disclosure of Report

Any disclosure made should contain the following information:

- description of the alleged event or matter (i.e., the nature of allegation; where and when the alleged misconduct/wrongdoing has taken place);
- details of the person(s) involved;
- other relevant information; and
- any supporting evidence if available.

5. Confidentiality

All information received will be treated as strictly confidential, save for disclosure on a “need to know” basis to facilitate investigations and/or take appropriate actions for such investigations.

6. Investigation Period

It shall be the policy of the Bank to handle investigations promptly and as fairly as possible but it might not be possible to set a specified time frame for the conclusion of an investigation, as the nature of potential concerns varies. However, the Bank shall endeavour to resolve all concerns raised within four (4) weeks from the date of report received.

7. Protection for Whistle-blower

It shall be the policy of the Bank to protect whistle-blowers who disclose concerns, provided the disclosure is made;

- in the reasonable belief that that it is intended to show malpractice or impropriety
- to an appropriate person or authority; and
- in good faith without malice or mischief.

While all disclosures resulting from whistle-blowing shall be treated with high level of confidentiality, employee and other relevant stakeholders are encouraged to disclose their name to make the report more credible. The Bank shall take the following into consideration in considering unanimous disclosure:

- seriousness of the issues being reported;
- significance and credibility of the concern; and
- possibility of confirming the allegation.

Whistle-blowers must ensure that they do not make disclosure outside of the prescribed channels (e.g. media-print or electronic), or their disclosures may not be protected.