

Mizuho Securities Co., Ltd. (MHSC)

1. Changes of Directors, Executive Officers and Operating Officers

(Effective as of April 1, 2024)

Name		New Position		Current Position
Yutaka Wakabayashi	Member of the Board of Directors		Operating Officer	Chief Strategy Officer (CSO) / Chief Governance Officer (CGO) / Chief Digital Officer (CDO) / Chief Crisis Management Officer (CCMO)
Yutaka Nanjo	Managing Executive Officer	Head of Global Investment Banking Division / Head of Investment Banking Business Division / Head of Global Investment Banking	—	Managing Executive Officer of MHBK
Yoshihisa Hirata	Managing Executive Officer	In Charge of Global Investment Banking	Operating Officer	Head of Syndication Business Division / Head of Global Syndicate
Naoki Takahashi	Managing Executive Officer	Head of Sogo Shosha, Realty & Financial Sponsor Industry Group	Managing Executive Officer	Head of Global Investment Banking Division / Head of Investment Banking Business Division / Head of Global Investment Banking / Co-Head of ICT & Retail Industry Group / Head of Global Sector Coverage
Takuhiko Tochiori	Managing Executive Officer	Head of Corporate Finance Division / In Charge of Specially Assigned Matters	Managing Executive Officer	Head of Sogo Shosha, Realty & Financial Sponsor Industry Group
Satoshi Asai	Managing Executive Officer	Chief Financial Officer (CFO) / In Charge of Investment Department / Head of Global Finance / In Charge of Specially Assigned Matters	Managing Executive Officer	Chief Financial Officer (CFO) / Chief Human Resources Officer (CHRO) / Deputy Chief People Officer (Deputy CPO) / Head of Global Finance / Head of Global Human Resources

Name		New Position		Current Position
Osamu Terai	Managing	Chief Information Security		Co-Chief Information Security
	Executive Officer	Officer (CISO)		Officer (Co-CISO)
Masahiro Shimada	Operating Officer	Co-Head of ICT & Retail Industry Group / Head of Global Sector Coverage		General Manager of ICT & Retail Industry Department No.1
Daisuke Sotokoba	Operating Officer	Head of Syndication Business Division / Head of Global Syndicate		Head of Chemicals Investment Banking Sector
Yasuhiko Kawakita	Operating Officer	Head of Equity Business Division / Head of Global Product / Head of Research & Consulting Unit		Head of Equity Business Division / Head of Global Product
Taihei Okabe	Operating Officer	Head of Fixed Income Business Division / Head of Global Product		Deputy Head of Fixed Income Business Division
Shuichi Daigen	Operating Officer	In Charge of Retail & Business Banking Division		Head of Area
Tadashi Itou	Operating Officer	Head of Area		Head of Area
Kazuhiro Fujii	Operating Officer	Head of Area		General Manager of Head Office Sales Department
Yasushi Fukuzawa	Operating Officer	Head of Area		— Operating Officer of MHBK
Kouichi Hanada	Operating Officer	Head of Area		General Manager of Retail & Business Banking Coordination Department
Akihisa Hayashi	Operating Officer	Head of Area		Head of Area
Rie Kaneko	Operating Officer	Head of Area		— General Manager of Urawa Branch
Daisuke Kimoto	Operating Officer	Chief Strategy Officer / Chief Governance Officer / Chief Digital Officer / Chief Crisis Management Officer (CCMO)		Deputy Head of Global Markets Division / Head of Fixed Income Business Division / Head of Global Product / Head of Research & Consulting Unit

Name		New Position		Current Position	
Kouichi	Operating	Chief Human Resources Officer (CHRO)	Operating	Co-Head of Human Resources Department	
Murata	Officer	/ Head of Global Human Resources	Officer		
Tomoko	Operating	Chief People Officer (CPO)	—	Senior Manager of MHFG	
Kawano	Officer				
Ken	Operating	Chief Process Officer (CPrO)	Operating	Deputy Chief Process Officer	
Utsunomiya	Officer	/ Head of Global Operations	Officer	/ Head of Global Operations	
Motomu	Operating	Deputy Chief Information Security Officer (Deputy CISO)	Operating Officer	General Manager of Cyber Security Management Department	
Kobayakawa	Officer				
Natsumi	Reassigned	Senior Executive Officer of MHFG	Managing Executive Officer	Chief People Officer (CPO)	
Akita					
Akihiro	Reassigned	Audit & Supervisory Board Member of MHRT	Managing Executive Officer	In Charge of Global Investment Banking	
Yamade					
Atsushi	Resigned		Deputy President* (Representative Director)		
Takahashi					
Kimihiro	Resigned		Managing Executive Officer	In Charge of Retail & Business Banking	
Sakano					
Hideki	Reassigned	Attached to Retail & Business Banking Division	Operating Officer	In Charge of Retail & Business Banking Division	
Ashikari					
Yuko	Reassigned	Attached to Operations Group	Operating Officer	Chief Process Officer (CPrO)	
Sueyoshi					
Nobuhisa	Reassigned	Attached to IT & Systems Group	Operating Officer	Chief Information Security Officer (CISO)	
Abe					

(Note) Asterisks indicate directors expected to concurrently serve as executive officers.

(Effective in late June 2024)

Name	New Position	Current Position
Miwa Ohmori	Member of the Board of Directors (Outside Director) (Audit & Supervisory Committee Member) (not full-time)	—
Yutaka Wakabayashi	Member of the Board of Directors (Audit & Supervisory Committee Member)	(previously mentioned)
Yasuto Sengoku	Resigned	Member of the Board of Directors (Audit & Supervisory Committee Member)

Brief Personal Record of New Member of the Board of Directors (Outside Director)

Name	Miwa Ohmori	
Business Experience	Sep. 2020	Chief Executive Officer, RGA Reinsurance Company Japan Branch (until May 2023)
	Aug. 2014	Branch Manager, Managing Director, Bank of America Representative in Japan, Bank of America, N.A., Tokyo Branch
	May 2013	Vice Chairman, Board Member, Managing Director, Merrill Lynch Japan Securities Co., Ltd. (current BofA Securities Japan Co., Ltd.)
	Jun. 2009	Chief Executive Officer and Representative Director, Mitsubishi UFJ Merrill Lynch PB Securities Co., Ltd. (current Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.)
	Aug. 1995	Joined Merrill Lynch Japan Securities Co., Ltd. (current BofA Securities Japan Co., Ltd.)
	Apr. 1987	Joined The Sumitomo Trust and Banking Co., Ltd. (current Sumitomo Mitsui Trust Bank, Limited)
Education	May 1995	MBA, The Wharton School, University of Pennsylvania
	Mar. 1987	Bachelor of Economics, Keio University
Date of Birth	Feb.3, 1964	

2. Directors, Executive Officers and Operating Officers as of April 1, 2024

Members of the Board of Directors

President & CEO* (Representative Director)	Yoshiro Hamamoto	
Member of the Board of Directors, Chairperson	Masaki Seki	
Deputy President* (Representative Director)	Itaru Shirakawa	Chief Compliance Officer (CCO) / In Charge of Due Diligence Department / Head of Global Compliance / Internal Administration Supervisor
Member of the Board of Directors	Yutaka Wakabayashi	
Member of the Board of Directors (not full-time)	Masahiro Kihara	(President & Group CEO (Representative Executive Officer) of MHFG)
Member of the Board of Directors (Audit & Supervisory Committee Member)	Yasuto Sengoku	
Member of the Board of Directors (Audit & Supervisory Committee Member) (not full-time)	Hisashi Kikuchi	(Senior Executive Officer of MHFG)
Members of the Board of Directors (Outside Director) (Audit & Supervisory Committee Member) (not full-time)	Yasuhisa Hashimoto	
	Hiroyuki Suzuki	
	Seiji Koga	

(Note) Asterisks indicate directors expected to concurrently serve as executive officers.

Executive Officers and Operating Officers*

Deputy President & Executive Officer	Keiji Maruko	Head of Business Promotion
Managing Executive Officers	Yutaka Nanjo	Head of Global Investment Banking Division / Head of Investment Banking Business Division / Head of Global Investment Banking
	Eiichi Yamazaki	Head of Social Infrastructure Industry Group
	Naoki Takahashi	Head of Sogo Shosha, Realty & Financial Sponsor Industry Group
	Tomoki Ueyama	In Charge of Global Investment Banking
	Yoshihisa Hirata	In Charge of Global Investment Banking
	Takuhiko Tochiori	Head of Corporate Finance Division / In Charge of Specially Assigned Matters
	Daishi Sasaki	Head of Global Markets Division / Head of Global Markets
	Hirobumi Nakayama	Head of Retail & Business Banking Division / Head of Fund Business Division
	Jerry Rizzieri	Attached to Strategic Planning Group (In charge of Strategic Planning for the Americas) / President & CEO of Mizuho Securities USA LLC / President & CEO of Mizuho Capital Markets LLC
	Suneel Bakhshi	Attached to Strategic Planning Group (In charge of Strategic Planning for EMEA) / President & CEO of Mizuho International plc
	Satoshi Asai	Chief Financial Officer (CFO) / In Charge of Investment Department / Head of Global Finance / In Charge of Specially Assigned Matters
Osamu Terai	Chief Information Security Officer (CISO)	
Operating Officers	Ichirou Nakahara	Head of Automotive & Technology Industry Group / Head of Global Sector Coverage
	Kazuhiro Iino	Head of ICT & Retail Industry Group / Head of Global Sector Coverage
	Masahiro Shimada	Co-Head of ICT & Retail Industry Group / Head of Global Sector Coverage
	Tarou Hasuo	Head of Resource & Material Industry Group / Head of Global Sector Coverage
	Yukihiko Sakakihara	Co-Head of Resource & Material Industry Group / Head of Global Sector Coverage
	Eijirou Kawauchi	In Charge of Corporate Finance Division
	Daisuke Sotokoba	Head of Syndication Business Division / Head of Global Syndicate

Operating Officers	Masayuki Nomoto	Head of Products Business Division / Head of Global Product
	Yasuhiko Kawakita	Head of Equity Business Division / Head of Global Product / Head of Research & Consulting Unit
	Taihei Okabe	Head of Fixed Income Business Division / Head of Global Product
	Shuichi Daigen	In Charge of Retail & Business Banking Division
	Tadashi Itou	Head of Area
	Kazuhiro Fujii	Head of Area
	Yasushi Fukuzawa	Head of Area
	Kouichi Hanada	Head of Area
	Akihisa Hayashi	Head of Area
	Rie Kaneko	Head of Area
	Daisuke Kimoto	Chief Strategy Officer / Chief Governance Officer / Chief Digital Officer / Chief Crisis Management Officer (CCMO)
	Kouichi Murata	Chief Human Resources Officer (CHRO) / Head of Global Human Resources
	Tomoko Kawano	Chief People Officer (CPO)
	Hiroshi Nishida	Chief Risk Officer (CRO) / Head of Global Risk Management
	Hitoshi Ishitani	Chief Information Officer (CIO)
	Yasuo Hamakake	Deputy Chief Information Officer / Head of Global IT
	Ken Utsunomiya	Chief Process Officer (CPrO) / Head of Global Operations
	Masanobu Ichiya	Chief Audit Executive (CAE)
Motomu Kobayakawa	Deputy Chief Information Security Officer (Deputy CISO)	

(Note) *: Excluding executive officers concurrently serving as a directors