

October 28, 2013

To whom it may concern

Mizuho Securities Co.,Ltd.

Re: Management Changes

Mizuho Securities Co.,Ltd.hereby announces changes in the executive officers.

Name	New Position (Effective as of September 30, 2013)		Current Position	
Mr. Masakane Koike	Managing Executive Officer	In charge of Risk Management Group	Managing Executive Officer	In charge of Risk Management Group and Compliance Group

Name	New Position (Effective as of November 1, 2013)		Current Position	
Mr. Toshitsugu Okabe	Managing Executive Officer	In charge of Compliance Group	—	
Mr. Ryusuke Aya	Managing Executive Officer	In charge of Risk Management Group	—	
Mr. Masakane Koike	Retired		(mentioned above)	

Management Changes above are on the assumption that any permission required will be obtained from the relevant authorities in Japan.