

日商瑞穗銀行在臺分行
防制洗錢及打擊資恐內部控制制度聲明書
Mizuho Bank, Ltd. Taiwan Branch(es)
Statement on Internal AML/CFT Control

謹代表日商瑞穗銀行分行聲明本公司於民國 108 年 1 月 1 日至 108 年 12 月 31 日確實遵循防制洗錢及打擊資恐相關法令，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報總公司授權人員。經審慎評估，本年度各單位防制洗錢及打擊資恐內部控制及法規遵循情形，除後附「防制洗錢及打擊資恐內部控制制度應加強事項及改善計畫」所列事項外，均能確實有效執行。

On behalf of Mizuho Bank, Ltd. Taiwan Branch(es), we hereby undertake that from January 1st, 2019 to December 31st, 2019, our company has duly complied with relevant regulations governing anti-money laundering and countering the financing of terrorism in establishing an internal control system, implementing risk management, designating an independent and objective internal unit to conduct audit, and submitting the audit report periodically to the authorized person of the headquarter. Following prudent evaluation, it is found that except for items listed in the attached "Enhancement Items and Improvement Plan for AML/CFT Internal Control System", each department of the Bank has effectively implemented internal controls for anti-money laundering and countering the financing of terrorism during the year.

此 致

金融監督管理委員會
To: Financial Supervisory Commission

聲明人：

Undersigned:

總經理：木原武志

木原武志 (簽章)

General Manager

總稽核/稽核主管：手島猛

手島猛 (簽章)

Auditor in charge of auditing in Taiwan

防制洗錢及打擊資恐專責主管：翁淑玲

翁淑玲 (簽章)

Chief AML/CFT compliance officer in Taiwan

防制洗錢及打擊資恐內部控制制度應加強事項及改善計畫

(基準日：108年12月31日)

應加強事項	改善措施	預定完成改善時間
無	無	無

中華民國 109 年 4 月 10 日