

日商瑞穗銀行在臺分行
防制洗錢及打擊資恐內部控制制度聲明書
Mizuho Bank, Ltd. Taiwan Branch(es)

Statement on Internal AML/CFT Control

謹代表日商瑞穗銀行在臺分行聲明本公司於民國112年1月1日至112年12月31日確實遵循防制洗錢及打擊資恐相關法令，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報總公司授權人員。經審慎評估，本年度各單位防制洗錢及打擊資恐內部控制及法規遵循情形，均能確實有效執行。

On behalf of Mizuho Bank, Ltd. Taiwan Branch(es), we hereby undertake that from January 1st, 2023 to December 31st, 2023, our company has duly complied with relevant regulations governing anti-money laundering and countering the financing of terrorism in establishing an internal control system, implementing risk management, designating an independent and objective internal unit to conduct audit, and submitting the audit report periodically to the authorized person of the headquarter. Following prudent evaluation, each department of the Bank has effectively implemented internal controls for anti-money laundering and countering the financing of terrorism during the year.

此致

金融監督管理委員會

To: Financial Supervisory Commission

聲明人

Undersigned

總經理：村田溫

村田溫 (簽章)

General Manager

總稽核/稽核主管：井原和樹

K. Hara (簽章)

Auditor in charge of auditing in Taiwan

防制洗錢及打擊資恐專責主管：孫以凡

孫以凡 (簽章)

Chief AML/CFT compliance officer in Taiwan

中 華 民 國 113 年 3 月 22 日